

PHA Plan Update

- (a) Identify all PHA Plan elements that have been revised by the PHA since its last Annual Plan submission:
- 1) Capital Fund Program Annual Statement updated
 - 2) Capital Fund Program Five Year Plan
 - 3) Demolition/Disposition Activity Description
- (b) Identify the specific location(s) where the public may obtain copies of the 5-Year and Annual PHA Plan. For a complete list of PHA Plan elements, see Section 6.0 of the instructions.
- Main Administrative Office of the Housing Authority
HACM Web Site

PIIA Plan Elements

1) Eligibility, Selection and Admissions Policies, including Deconcentration and Wait List Procedures

HACM Policies for Public Housing

- HACM verifies eligibility for admission to Public Housing when the family reaches the top of the waiting list, at which time the full application is completed. Final determination of eligibility for admission occurs and the HACM ensures that verification of all HUD and HACM eligibility factors is current to determine the family's eligibility for an offer of a suitable unit.
- Screening factors used by the HACM to establish eligibility for admission to Public Housing : criminal or drug-related criminal activity; rental history; housekeeping habits at current and prior residences; eviction history; rent payment history; history of behavior including repeated acts of violence, threatening behavior, and disturbance of the peace; history of alcohol and/or substance abuse; sex offender registration requirement; conviction for manufacturing of methamphetamine on the premises of federally assisted housing.
- HACM maintains a community-wide waiting list for the Salinas family Public Housing developments. Site-based waiting lists are maintained for the elderly sites in Gonzales and Greenfield. Site-based waiting lists are maintained for the family Public Housing developments in Monterey and South Monterey County. Applications can be made for the Salinas family waiting list at the Central Office in Salinas. Applications for the site-based waiting lists are made at the properties in the areas governed by the specific waiting list.
- Applicants are given two offers of vacant units. Failure to accept one of those offers drops the family to the bottom of the waiting list or results in their removal entirely.
- HACM does not plan to exceed the required income targeting for new admissions of 40% in the Public Housing program.
- In the following circumstances, transfers will take precedence over new admissions: emergencies, modernization work, reasonable accommodation of a person with a disability; medical justification, over housed, under housed, or if an adult member is enrolled in a specialized training program in another jurisdiction or to move to another jurisdiction to be employed.
- Waiting list preferences for Public Housing include: families who have had their Section 8 Voucher revoked in the last 12 months due to funding shortfalls; elderly families or families headed by an elderly person or families with household members who are mentally, physically, or developmentally disabled; working families; families who are actively enrolled in a case management, job training, transitional housing or other self-sufficiency program; victims of disasters; families who reside in substandard housing who are permanently displaced or about to be permanently displaced as a result of code enforcement action activities as determined by the local housing code enforcement officials; and veterans.

HACM Policies for HCV

- HACM verifies eligibility for admission to Section 8 Housing Choice Voucher program when the family reaches the top of the waiting list, at which time the full application is completed. Final determination of eligibility for admission occurs and the HACM ensures that verification of all HUD and HACM eligibility factors is current.
- Screening factors used the HACM to establish eligibility for admission to HCV: criminal or drug-related criminal activity; rental history; eviction history; history of behavior including repeated acts of violence, threatening behavior, and disturbance of the peace; history of alcohol and/or substance abuse; sex offender registration requirement; conviction for manufacturing of methamphetamine on the premises of federally assisted housing.
- Interested persons may apply for the HCV program at the HACM Central Office when the waiting list is open. HACM will target no more than 75% of its new admissions to the Section 8 program to families at or below the 30% of the median area income. The remainder of families admitted will be from families who income does not exceed 50% and 80% of median area income.
- HACM has established the following list of preferences for the Section 8 HCV program: families who have had their Section 8 Voucher revoked in the last 12 months due to funding shortfalls; frail elderly; families living in a rental rehabilitation project; formerly homeless or homeless families actively enrolled in a case management, transitional housing or other self-sufficiency program; families living in moderate rehabilitation and/or project-based program units whose health, welfare, or safety is threatened, or families who have provided drug-related criminal activity testimony; families that need to move closer to medical facilities; victims of disasters as verified by FEMA, the American Red Cross or other disaster assistance agency; families who reside in substandard housing who are permanently displaced or about to be permanently displaced as a result of code enforcement activities as determined by the local Housing Code Enforcement Officials; Elderly families or families headed by an elderly person; families with household members who are mentally, physically, or developmentally disabled; families who have been diagnosed with a medical condition as severe/terminal, resulting in a medical crisis that may require continuous care services in the home; families who are considered to be living in place; Monterey County residents; veterans; victims of domestic violence. Preferences will be aggregated and admissions to the program will be 75% from the local preference waiting list and 25% from date and time waiting list.

2) Financial Resources

- The HACM anticipates the following financial resources to be available for the support of the Public Housing and HCV programs administered by the HACM: Public housing Operating Fund, Public Housing Capital Fund, Public Housing dwelling rental income; Annual contributions for the Section 8 Housing Assistance Payments.

6.0

3) **Rent Determination**

HACM Policies for Public Housing

- The HACM does not employ any discretionary rent-setting policies for income-based rent in Public Housing and has not adopted any discretionary minimum rent hardship exemption policies.
- Minimum rent for Public Housing is \$50.00.
- The HACM does not use any discretionary deductions and/or exclusions in the calculation of tenant rents.
- The HACM has no ceiling rents.
- For the purposes of rent re-determination, all changes in family composition or income must be reported to HACM within 10 calendar days. Members of the family residing in the unit must be approved by the HACM prior to occupancy.
- The flat rents used in Public Housing are based on the Fair Market Rents as determined for the Section 8 Housing Choice Voucher Program.

HACM Policies for HCV Housing Program

- The HACM currently uses the payment standard of 95% of the Fair Market Rent except for the Monterey Peninsula which is at 97% of the Fair Market Rent. The payment standard is below the FMR because the HACM has chosen to be able to maintain the program in case of a funding shortfall. Payment standards are evaluated annually.
- Minimum rent for the HCV Program is \$50.00.
- The HACM has not adopted any discretionary policies with regards to the minimum rent hardship exemption.

4) **Operations and Management**

Statement of Rules, Standards, and Policies Which Govern Maintenance of Housing Owned, Assisted, or Operated by the HACM

- The HACM maintains its dwelling units and developments in a decent, safe and sanitary condition and makes necessary repairs in a timely fashion.
- The HACM inspects each unit prior to move-in, at move out and annually while the unit is occupied. Quality control inspections are conducted by supervisory staff to insure that the units are being maintained both by HACM and the family in a manner that is acceptable and meets the standards for safety and cleanliness. For Public Housing units, the standards followed are those established by REAC and for the units in the HCV program, Housing Quality Standards are enforced.
- If damages have been caused by a household member or their guest, the family can be charged the reasonable cost of repairs. In addition, the HACM may elect to enforce the lease if the damages are excessive or the repetitive.
- Residents whose housekeeping habits pose a non-emergency health or safety risk, encourage rodent or insect infestation, purposely disengage the smoke detector, or cause damage to the unit are in violation of the lease. In those cases, the HACM will issue a lease violation to the family. If the behavior continues, the HACM may choose to terminate the contract with the family.
- The HACM utilizes a Board-approved tenant charge schedule in assessing maintenance charges to the families. Work that is not on the list is charged at the actual cost of materials and labor along with an additional administrative charge.
- The policies governing the operations and management of the Public Housing program are found in the Admissions and Continued Occupancy Plan (ACOP), the Resident Handbook, the Public Housing Lease, and the Preventive and regular Maintenance Plans.
- The policies governing the operations and management of the Section 8 Housing Choice Voucher program are located in the Administrative Plan (Admin Plan).

5) **Grievance Procedures**

Grievance, Informal Hearing, and Review Procedures that the HACM Makes Available to Residents, Participants, and Applicants.

- In the case of a decision that may have a negative impact on an applicant family, it is the right of the family to request an informal hearing to appeal the decision. The procedures for the hearing are located in the Admin Plan for HCV and the ACOP for Public Housing. The plans are available for review at the HACM Central Office.
- In the case of Public Housing, the Grievance Procedure will be followed if there is a request for an informal or formal hearing by a resident family. These procedures are a part of the tenant lease and each tenant family is given a copy of the Grievance Procedure. The family will be given a written decision by the hearing officer within the time frame specified in the procedure.
- In the case of the Section 8 HCV program, the informal hearing procedures are located in the Admin Plan for the HCV program. These will be followed upon the request for an informal hearing from a program participant. The family will receive a written decision from the hearing officer within the time frame specified in the procedure.

6) **Designated Housing for Elderly and Disabled Families**

Public Housing Developments owned and operated by the HACM that are currently designated for occupancy by elderly or disabled families

- None

7) **Community Service and Self-Sufficiency**

Service and Amenities for Public Housing Assisted Families

- All adult household members must contribute 8 hours of community service per month or be in a self-sufficiency program that requires participation of at least 8 hours per month. Adults that are exempt from this requirement must work at least 25 hours per week or be 62 years or older. Persons who are blind or disabled and who certify that their disability will not allow them to meet the requirements or the primary caretaker of such an individual are also exempt from this requirement.
- The HACM provides information to family members about the requirements and monitors the participation of the adult members. Referrals are made to local programs so that members can fulfill their requirement.
- The HACM has a cooperative agreement with the local TANF agency and coordinates a Welfare-to-Work program in the HCV program. In addition, the HACM has a successful FSS program in the HCV program and continues to recruit participants into this self-sufficiency program. The HACM also has a HCV Homeownership program that continues to attract participants.
- The HACM publicizes its job openings at the public housing sites so that tenants have an opportunity to apply. The HACM works with its contractors to further the employment of low income persons in the community.

- In the Public Housing program, waiting list preferences are given to working families and families that are in transitional or self-sufficiency programs.
- The HACM does not provide any self-sufficiency programs within the Public Housing program. The HACM does provide for the special treatment of income changes resulting from welfare program requirements. These provisions are found in the ACOP for Public Housing.
- Adult family members in Public Housing that do not meet the annual Community Service requirement will have one year to make up the missing hours as provided in the cooperation agreement that they must sign. Failure of those members to complete the hours within the second year, will cause the family's lease to not be renewed unless they remove those members from the contract.

8) **Safety and Crime Prevention**

The HACM's Plan for Safety and Crime Prevention to Ensure the Safety of Public Housing Residents

- All applicants must meet the eligibility and screening requirements for the Public Housing program. Denial of admission may be based on certain types of current or past behaviors of family members in an effort to address resident safety and crime prevention. Specific screening criteria are located in the ACOP for Public Housing.
- Admission can be denied any applicant that engaged in certain criminal activity or if the HACM has reasonable cause to believe that a household member's current use or pattern of use of illegal drugs may threaten the health, safety, or right to peaceful enjoyment of the premises by other residents.
- Any member of the household who has been evicted for federally-assisted housing in the last 5 years for drug-related criminal activity can be denied admittance to Public Housing. In addition, any family with a pattern of disturbing neighbors, destroying property, or undesirable housekeeping habits may be prohibited from entering the program.
- The HACM will obtain a criminal background check on all applicants to the Public Housing program. This will include, but not limited to, screening for violent criminal or drug-related criminal history within the previous five years and whether or not the individual is a registered sex-offender or has been convicted of manufacturing or producing methamphetamine.
- The HACM staff partner with local law enforcement agencies in providing crime tips and work with community service officers in crime prevention at the properties. Local law enforcement agencies share crime statistics for the neighborhoods surrounding the public housing sites so that the HACM staff is aware of the needs of the community. Local law enforcement members testify when needed at eviction proceedings.
- Where there is an interest by the tenants, HACM staff encourages the installation of Neighborhood Watch programs. The HACM utilizes environmental design in order to reduce crime in its public housing properties.

9) **Pets**

Rules (non-inclusive) Adopted by the HACM on the Keeping of Pets

- The HACM has policies on both the keeping of pets and on assistance animals for all public housing developments. The entire policies can be located in the ACOP for Public Housing and in the Pet Lease.
- Residents must insure that animals do not pose a direct threat to the health and safety of others or cause substantial physical damage to the development, the housing unit or the property of other residents.
- Pets must be registered with the HACM prior to being brought on to the property. Pets will not be approved to reside in the unit until the registration requirements have been completed as detailed in the pet policy and pet and house rules.
- Residents who have been approved to have a pet must enter into a pet agreement. A security deposit for each pet is required. In the case of pet ownership in family public housing developments, there is a monthly fee requirement in addition to the security deposit. The fee covers the damage and clean up of the common areas.
- The HACM has designated pet and no-pet areas. Pets must be kept in the resident's unit. When outside of the unit, cats and dogs must be on leashes or carried and under the control of the resident at all times.
- Standards of care and cleanliness must be met as defined in the pet policy.

10) **Civil Rights Certification**

- The HACM complies fully with all federal, state, and local nondiscrimination laws, and with rules and regulations governing fair housing and equal opportunity in housing and employment, including: Title VI of the Civil Rights Act of 1964, Title VII of the Civil Rights Act of 1968, Executive Order 11063, Section 504 of the Rehabilitation Act of 1973, the Age Discrimination Act of 1975, Title II of the Americans with Disabilities Act, Violence Against Women Reauthorization Act of 2005, and any applicable state or local laws protecting individual rights of tenants, applicants or staff.
- The HACM does not discriminate because of race, color, sex, religion, familial status, age, disability, or national origin.
- The HACM does not discriminate on the basis of marital status or sexual orientation or gender identification.
- The HACM takes steps to insure that families are aware of all applicable civil rights.
- The HACM provides tenants and program participants information regarding fair housing and discrimination at the public housing and HCV orientation briefings.
- The HACM participates in the Consolidated Plan process for the local jurisdictions including the Cities of Salinas and Monterey. The HACM also provides data to the County of Monterey for their Housing Element.
- The HACM annually evaluates the racial and ethnic make up of its program participants and applicants for the Public Housing and HCV programs and affirmatively markets them to the jurisdictions.

11) **Fiscal Year Audit**

The most recent fiscal year audit for the Housing Authority of the County of Monterey was performed by Novogradac and Company, LLP, a CPA firm located at P.O. Box 7833, San Francisco, CA. The following was taken from the letter to the Authority, dated May 27, 2011:

"To the Board of Commissioners, Housing Authority of the County of Monterey, Salinas, California:
We have audited the accompanying financial statements of the enterprise fund, the aggregate discretely presented component units, each major fund, and the aggregate remaining fund information of the *Housing Authority of the County of Monterey* as of and for the year ended June 30, 2010 which collectively comprise the basic financial statements as listed in the foregoing table of contents. These

financial statements are the responsibility of the management of the *Housing Authority of the County of Monterey*. Our responsibility is to express an opinion on these financial statements based on our audit. The financial statements of the *Housing Authority of the County of Monterey* as of June 30, 2009, were audited by other auditors whose report dated April 7, 2010, expressed an unqualified opinion on those statements.

We conducted our audit in accordance with auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in Government Auditing Standards issued by the Comptroller General of the United States. Those standards require that we plan and perform the audits to obtain reasonable assurance about whether the financial reports are free of material misstatement. An audit includes examining, on a test basis, evidence supporting the amounts and disclosures in the financial statements. An audit also includes assessing the accounting principles used and significant estimates made by management, as well as evaluating the overall financial statement presentation. We believe that our audit provides a reasonable basis for our opinions.

In our opinion, the financial statements referred to above present fairly, in all material respects, the respective financial position of the enterprise fund activities, the aggregate discretely presented component units, each major fund, and the aggregate remaining fund information of the *Housing Authority of the County of Monterey* as of June 30, 2010 and the changes in financial position and cash flows where applicable for the year then ended in conformity with accounting principles generally accepted in the United States of America.

In accordance with Government Auditing Standard, we have also issued a report dated March 27, 2011 on our consideration of the *Housing Authority of the County of Monterey's* internal control over financial reporting and our tests of its compliance with certain provisions of laws, regulations, contracts and grant agreements and other matters. The purpose of that report is to describe the scope of our testing of internal control over financial reporting and compliance and results of that testing, and not to provide an opinion on the internal control over financial reporting or on compliance. That report is an integral part of an audit performed in accordance with Government Auditing Standards and should be considered in assessing the results of our audit.

The Management's Discussion and Analysis on pages 4 through 17 are not a required part of the basic financial statements, but are supplementary information required by accounting principles generally accepted in the United States of America and the Governmental Accounting Standards Board. We have applied certain limited procedures, which consisted principally of inquiries of management regarding the methods of measurement and presentation of the required supplementary information. However, we did not audit the information and express no opinion on it.

Our audit was conducted for the purpose of forming opinions on the financial statements that collectively comprise the basic financial statements of the *Housing Authority of the County of Monterey*. The accompanying schedule of expenditures of federal awards required by the U.S. Office of Management and Budget Circular A-133, Audits of States, Local Governments, and Non-Profit Organizations, and the accompanying financial information listed as supplemental information in the table of contents are presented for purposes of additional analysis and are not a required part of the basic financial statements of the *Housing Authority of the County of Monterey*. Such information has been subjected to the auditing procedures applied in the audit of the financial statements and, in our opinion, is fairly stated, in all material respects, in relation to the basic financial statements taken as a whole." [signed Novogradac and Company, LLP, March 27, 2010]

12) Asset Management

- The HACM Site Managers and Maintenance personnel are well experienced in the daily operations and maintenance of the Public Housing properties. Inspections of all units and repairs are completed by either staff or outside contractors as is deemed appropriate. Inventory for repairs is purchased on an as needed basis with minimal storage of supplies required at the site.
- Staff members participate in recommendations for improvements and modernization at the properties. Capital Fund and Operating Fund monies are used for the improvements and the day-to-day operations of the properties. A Capital Needs Assessment was completed in 2007 and staff is using this information for the planning of necessary replacements at each site.
- An energy audit was conducted in 2011 and the information received as a result is being used to identify ways to improve the energy efficiency of the properties.
- The HACM has long used project based budgeting for its properties so has a comprehensive history of expenses and income from which it can plan for the future. The HACM continues to evaluate its properties to insure that they are financially and physically viable and continue to provide affordable housing for its tenants into the future.
- The HACM is considering disposing of some public housing properties. Attachment 3 indicates the future plans for any dispositions of Public Housing developments.

13) Violence Against Women Act (VAWA)

Activities or Services Relative to the HACM's Adherence to VAWA for Child Abuse or Adult Victims of Domestic Violence, Dating Violence, Sexual Assault or Stalking

- The HACM adheres to fair housing practices, providing fair housing referral services to clients and residents as appropriate.
- The HACM notifies all applicants, residents and program participants of their protections and rights under VAWA at the time of application, at admission and at annual recertification. The notice explains the protections offered under the law and informs them of the confidentiality requirements. This notice provides them with the telephone number for the National Domestic Violence Hotline.
- Applicants cannot be denied admission on the basis that the applicant is, or has been, a victim of domestic violence, dating violence, sexual assault, or stalking, so long as the applicant otherwise qualifies for the program.
- The HACM provides a waiting list preference for Victims of Domestic Violence in the HCV program.
- The HACM uses screening procedures to establish eligibility for program participation. Screening factors are listed in the ACOP for Public Housing and the Admin Plan for HCV and are used to prevent crime in housing and to protect the residents of the properties. These screening criteria include, but are not limited to, criminal or drug-related criminal activity, history of alcohol or substance abuse, manufacture of methamphetamine, and/or sex offender registration requirement.
- The HACM informs property owners and managers in the HCV program about their screening and termination responsibilities as it relates to VAWA.

Hope VI, Mixed Finance Modernization or Development, Demolition and/or Disposition, Conversion of Public Housing, Homeownership Programs, and Project-based Vouchers. *Include statements related to these programs as applicable.*

- 1) **Hope VI or Mixed Finance Modernization or Development:** No Hope VI development planned. See Attachment 3 for detailed explanation of Mixed Finance Development activities.
- 2) **Demolition and/or Disposition of Public Housing:** The HACM plans to undertake disposition activities in the plan year.

Demolition/Disposition Activity Description
1a. Development name: Casa de Oro
1b. Development (project) number: AMP CA033000105
2. Activity type: Demolition <input type="checkbox"/> Disposition <input checked="" type="checkbox"/> and/or conversion
3. Application status (select one) Approved <input type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application <input checked="" type="checkbox"/>
4. Date application approved, submitted, or planned for submission: by 6-30-2012
5. Number of units affected: 20
6. Coverage of action (select one) <input type="checkbox"/> Part of the development <input checked="" type="checkbox"/> Total development
7. Timeline for activity: a. Actual or projected start date of activity: b. Projected end date of activity: 6-30-2013
1a. Development name: Los Ositos
1b. Development (project) number: AMP CA033000112
2. Activity type: Demolition <input type="checkbox"/> Disposition <input checked="" type="checkbox"/> and/or conversion
3. Application status (select one) Approved <input type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application <input checked="" type="checkbox"/>
4. Date application approved, submitted, or planned for submission: by 6-30-2012
5. Number of units affected: 50
6. Coverage of action (select one) <input type="checkbox"/> Part of the development <input checked="" type="checkbox"/> Total development
7. Timeline for activity: a. Actual or projected start date of activity: b. Projected end date of activity: 6-30-2013

- 3) **Conversion of Public Housing:** The HACM may explore the conversion of public housing developments to tenant-based (voucher) assistance, and if so, will conduct conversion assessment for each property in accordance with HUD requirements.
- 4) **Homeownership Programs:** Not Applicable.
- 5) **Project-based Vouchers:** The HACM currently has a Project-based voucher program in place. Depending upon available funding, HACM may elect to expand the program in the coming fiscal year.

7.0

8.0	Capital Improvements. Please complete Parts 8.1 through 8.3, as applicable.
8.1	<p>Capital Fund Program Annual Statement/Performance and Evaluation Report. As part of the PHA 5-Year and Annual Plan, annually complete and submit the <i>Capital Fund Program Annual Statement/Performance and Evaluation Report</i>, form HUD-50075.1, for each current and open CFP grant and CFFP financing.</p> <p>See Attachment 1</p>
8.2	<p>Capital Fund Program Five-Year Action Plan. As part of the submission of the Annual Plan, PHAs must complete and submit the <i>Capital Fund Program Five-Year Action Plan</i>, form HUD-50075.2, and subsequent annual updates (on a rolling basis, e.g., drop current year, and add latest year for a five year period). Large capital items must be included in the Five-Year Action Plan.</p> <p>See Attachment 2</p>
8.3	<p>Capital Fund Financing Program (CFFP). <input type="checkbox"/> Check if the PHA proposes to use any portion of its Capital Fund Program (CFP)/Replacement Housing Factor (RHF) to repay debt incurred to finance capital improvements.</p>

Housing Needs. Based on information provided by the applicable Consolidated Plan, information provided by HUD, and other generally available data, make a reasonable effort to identify the housing needs of the low-income, very low-income, and extremely low-income families who reside in the jurisdiction served by the PHA, including elderly families, families with disabilities, and households of various races and ethnic groups, and other families who are on the public housing and Section 8 tenant-based assistance waiting lists. The identification of housing needs must address issues of affordability, supply, quality, accessibility, size of units, and location.

A. Housing Needs of Families in the Jurisdiction/s Served by the PHA

Housing Needs of Families in the Jurisdiction by Family Type							
Family Type	Overall	Afford-ability	Supply	Quality	Access-ibility	Size	Loca-tion
Income <= 30% of AMI	18,675	5	5	5	4	5	4
Income >30% but <=50% of AMI	19,241	5	5	4	4	5	4
Income >50% but <80% of AMI	24,945	5	4	4	4	4	4
Elderly	44,422	5	4	4	5	4	4
Families with Disabilities	28,803	5	5	5	5	5	5
Race/Ethnicity White/Non-Hispanic	136,435	5	5	4	3	3	3
Race/Ethnicity Hispanic	230,003	5	5	4	3	5	5
Race/Ethnicity African American	12,785	5	5	4	3	4	4
Race/Ethnicity Asian/Pacific Islanders	27,329	5	5	4	3	4	4
Race/Ethnicity Other & Mixed	8,505	5	5	4	3	4	4

B. Housing Needs of Families on the Public Housing and Section 8 Tenant- Based Assistance Waiting Lists

Housing Needs of Families on the Waiting List			
Waiting list type: (select one)			
<input checked="" type="checkbox"/> Housing Choice Voucher tenant-based assistance			
	# of families	% of total families	Annual Turnover
Waiting list total	4299		
Extremely low income <=30% AMI	2960	69%	
Very low income (>30% but <=50% AMI)	1111	26%	
Low income (>50% but <80% AMI)	221	5%	
Families with children	3355	78%	
Elderly families	156	4%	
Families with Disabilities	780	18%	
Race/ethnicity: White	3661	85%	
Race/ethnicity: Black	381	9%	
Race/ethnicity: Asian	91	2%	
Race/ethnicity: Native Hawaiian	39	1%	
Race/ethnicity: American Indian	59	1%	
Race/ethnicity: Multiple Races	58	1%	
Race/ethnicity: Race Unknown	13	<1%	
Race/ethnicity: Hispanic	3081	72%	
Race/ethnicity: Not Hispanic	1212	28%	
Race/ethnicity: Unknown	9	<1%	
Is the waiting list closed (select one)? <input type="checkbox"/> No <input checked="" type="checkbox"/> Yes			
If yes:			
HOW LONG HAS IT BEEN CLOSED (# OF MONTHS)? 40 MONTHS			
Does the PHA expect to reopen the list in the PHA Plan year? <input checked="" type="checkbox"/> No <input type="checkbox"/> Yes			
Does the PHA permit specific categories of families onto the waiting list, even if generally closed? <input type="checkbox"/> No <input checked="" type="checkbox"/> Yes			

9.0

Housing Needs of Families on the Waiting List

Waiting list type: (select one)

Public Housing

	# of families	% of total families	Annual Turnover
Waiting list total	6478		
Extremely low income <=30% AMI	4972	77%	
Very low income (>30% but <=50% AMI)	1229	19%	
Low income (>50% but <80% AMI)	256	4%	
Families with children	4842	75%	
Elderly families	413	6%	
Families with Disabilities	957	15%	
Race/ethnicity: White	4854	75%	
Race/ethnicity: Black	510	8%	
Race/ethnicity: Asian	127	2%	
Race/ethnicity: Native Hawaiian	51	1%	
Race/ethnicity: American Indian	72	1%	
Race/ethnicity: Multiple Races	88	1%	
Race/ethnicity: Race Unknown	776	12%	
Race/ethnicity: Hispanic	4640	72%	
Race/ethnicity: Not Hispanic	1612	25%	
Race/ethnicity: Unknown	226	3%	

Characteristics by Bedroom Size (Public Housing Only)

1BR	2051	32%	13
2 BR	2568	40%	24
3 BR	1578	24%	20
4 BR	273	4%	2
5 BR	8	<1%	1
5+ BR	0	0%	0

Is the waiting list closed (select one)? No Yes

If yes:

HOW LONG HAS IT BEEN CLOSED (# OF MONTHS)?

Does the PHA expect to reopen the list in the PHA Plan year? No Yes

Does the PHA permit specific categories of families onto the waiting list, even if generally closed? No Yes

Strategy for Addressing Housing Needs. Provide a brief description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list in the upcoming year. **Note: Small, Section 8 only, and High Performing PHAs complete only for Annual Plan submission with the 5-Year Plan.**

9.1

- The waiting list for the HCV program is currently closed. The HACM continues to issue new vouchers depending upon available funding.
- The HACM will continue to apply for new Housing Choice Voucher allotments as they become available and will maintain its lease up within the funding constraints.
- The HACM provides for admission preferences for elderly and disabled families and individuals and targets 75 % families at 30% of the AMI for admission to its HCV program and 40% of families at 30% of AMI for admission into Public Housing.
- The HACM maintains its public housing occupancy rate at a high level.
- The HACM provides reasonable accommodation and modifications for its disabled program participants so that they have equal access to the programs.
- The HACM continues to reposition its public housing inventory as it deems necessary in order to preserve the affordability and life time of the housing stock for the community.
- The HACM affirmatively markets its housing units and the HCV program to the community and encourages landlords to make their units available to voucher holders.
- The HACM participates as a board member in the Housing Alliance for Persons with Disabilities to further the development of accessible units in the community.

Additional Information. Describe the following, as well as any additional information HUD has requested.

(a) **Progress in Meeting Mission and Goals.** Provide a brief statement of the PHA's progress in meeting the mission and goals described in the 5-Year Plan.

The Housing Authority of the County of Monterey (HACM) has made the following progress in meeting the goals in the 5-Year Plan:

HUD Strategic Goal: Increase the availability of decent, safe, and affordable housing.

The Housing Authority has continued to apply for additional Section 8 Housing Choice Vouchers when funding is made available. HACM received an allocation of HUD-VASH vouchers in the past year and is working towards implementation with the local Veterans Administration offices.

HACM has substantially reduced vacancies this past year in its public housing program and continues to review its properties for financial stability. When a public housing property needs modernization or redevelopment, HACM pursues necessary means to move forward to preserve its affordable housing in the community.

The Housing Authority is a standard performer in the Section 8 Housing Choice Voucher program (SEMAP score 86%). Also, HACM continues to manage its Capital Fund Program so that all funds are obligated in a timely manner and work is completed on schedule. HACM has renovated over 11 public housing units in the past fiscal year. While the HACM is a standard performer for PHAS for management, physical and resident services, the HACM was rated substandard for financial management in the last assessment. AS a result HACM is considered a troubled housing agency and is working through its Recovery Agreement with HUD.

Specialists in the Section 8 program continue to educate clients with regards to the portability of their vouchers. Group briefings and individual counseling are used to get information to the clients regarding the program. Landlords are encouraged to participate in the Section 8 program and special briefings for them are also held. Voucher payment standards have been adjusted during the last year in order to reflect the changing market conditions.

Site based waiting lists have been instituted at selected properties in order to broaden the opportunities to rural communities and to reduce the vacancy turnaround time. Project-based Section 8 Vouchers have been made available to new developments in order to increase the supply of affordable housing in the county.

HUD Strategic Goal: Improve community quality of life and economic vitality

The Housing Authority continues to monitor crime statistics and make public housing communities safer through property safety improvements. HACM also monitors the property income levels in order to further deconcentration of poverty and to broaden the income mix of the projects.

HACM utilized its ARRA Capital Funds to improve the public housing properties by replacing roofs and adding solar to specific properties.

HUD Strategic Goal: Promote self-sufficiency and asset development of families and individuals

HACM continues to promote self-sufficiency and asset development by encouraging participants in the Section 8 program to sign up for the Family Self-Sufficiency program. HACM also continues to assist families that are able to become homeowners by utilizing various options including Section 8 Vouchers and working with communities to provide down payment assistance to the clients. In the past calendar year, 11 families have graduated from the FSS program and 1 family has purchased a home.

The Housing Authority continues to work with various service providers to better serve those families with special needs including the disabled and elderly populations. In addition, HACM continues to work with local governmental agencies and non-profits to utilize specialized Vouchers such as those for Shelter Plus Care and Family Unification. HACM was recently approved for an extension of the Shelter Plus Care program. Also, HACM was recently approved for a renewal grant for its Supportive Housing Program at Pueblo Del Mar. This program is a transitional housing program for families with children that are in recovery from alcohol or drug abuse.

HUD Strategic Goal: Ensure Equal Opportunity in Housing for all Americans

HACM continues to affirmatively market its housing programs and make them accessible to those families with special needs or disabilities. HACM has surveyed all applicants and program participants in the Public Housing program for disability and accessibility needs so that it can better serve those families particular needs.

HACM continues to utilize its Capital Fund monies to make improvements at the public housing properties so that all families regardless of need can have equal use of the units or property. HACM remains committed to meeting reasonable accommodation or modification requests in order to provide equal opportunities to disabled families or individuals.

b) **Significant Amendment and Substantial Deviation/Modification.** Provide the PHA's definition of "significant amendment" and "substantial deviation/modification".

Significant Amendment or Modification to the Annual Plan: Changes of a sufficient nature to the rent or admissions policies or the organization of the waiting list not required by federal regulatory requirements that would result in a change to the Annual Agency Plan unless that change is a result of circumstance identified by HUD for special intervention.

10.0

Substantial Deviation from the 5 Year Plan: Any collective change in the planned or actual use of federal funds as identified in the 5 year plan that exceeds 50% of the HACM's annual program budget for the Section 8 Housing Choice Voucher program activities. Any collective change in the planned or actual use of federal funds as identified in the 5 year plan that exceeds 50% of the HACM's annual program budget for the Public Housing Operating Fund program activities. Any collective change in the planned or actual use of federal funds as identified in the 5 year plan that exceeds 50% of the HACM's annual program budget for the Public Housing Capital Fund program activities.

11.0	<p>Required Submission for HUD Field Office Review. In addition to the PHA Plan template (HUD-50075), PHAs must submit the following documents. Items (a) through (g) may be submitted with signature by mail or electronically with scanned signatures, but electronic submission is encouraged. Items (h) through (i) must be attached electronically with the PHA Plan. Note: Faxed copies of these documents will not be accepted by the Field Office.</p> <ul style="list-style-type: none"> (a) Form HUD-50077, <i>PHA Certifications of Compliance with the PHA Plans and Related Regulations</i> (which includes all certifications relating to Civil Rights) (b) Form HUD-50070, <i>Certification for a Drug-Free Workplace</i> (PHAs receiving CFP grants only) (c) Form HUD-50071, <i>Certification of Payments to Influence Federal Transactions</i> (PHAs receiving CFP grants only) (d) Form SF-LLL, <i>Disclosure of Lobbying Activities</i> (PHAs receiving CFP grants only) (e) Form SF-LLL-A, <i>Disclosure of Lobbying Activities Continuation Sheet</i> (PHAs receiving CFP grants only) (f) Resident Advisory Board (RAB) comments. Comments received from the RAB must be submitted by the PHA as an attachment to the PHA Plan. PHAs must also include a narrative describing their analysis of the recommendations and the decisions made on these recommendations. (g) Challenged Elements (h) Form HUD-50075.1, <i>Capital Fund Program Annual Statement/Performance and Evaluation Report</i> (PHAs receiving CFP grants only) (i) Form HUD-50075.2, <i>Capital Fund Program Five-Year Action Plan</i> (PHAs receiving CFP grants only)
------	--

ATTACHMENT 1

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 8/31/2011

Part I: Summary	
PHA Name: Housing Authority of the County of Monterey	Grant Type and Number Capital Fund Program Grant No: CA39PO33501-12 Replacement Housing Factor Grant No: Date of CFFP:
FFY of Grant 2013 FFY of Grant Approval: 2012	

Line	Type of Grant <input checked="" type="checkbox"/> Original Annual Statement <input type="checkbox"/> Performance and Evaluation Report for Period Ending:	Reserve for Disasters/Emergencies <input type="checkbox"/>	Revised Annual Statement (revision no:) <input type="checkbox"/> Final Performance and Evaluation Report	Total Estimated Cost		Total Actual Cost ¹	
				Original	Revised ²	Obligated	Expended
1	Total non-CFP Funds						
2	1406 Operations (may not exceed 20% of line 21) ³						
3	1408 Management Improvements			234,586			
4	1410 Administration (may not exceed 10% of line 21)			117,293			
5	1411 Audit						
6	1415 Liquidated Damages						
7	1430 Fees and Costs						
8	1440 Site Acquisition						
9	1450 Site Improvement						
10	1460 Dwelling Structures			761,051			
11	1465.1 Dwelling Equipment—Nonexpendable						
12	1470 Non-dwelling Structures						
13	1475 Non-dwelling Equipment			60,000			
14	1485 Demolition						
15	1492 Moving to Work Demonstration						
16	1495.1 Relocation Costs						
17	1499 Development Activities ⁴						

¹ To be completed for the Performance and Evaluation Report.
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.
⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 08/31/2011

Part I: Summary

PHA Name: Housing Authority of the County of Monterey	Grant Type and Number Capital Fund Program Grant No: CA39PO33501-12 Replacement Housing Factor Grant No: Date of CFFP:	FFY of Grant: 2013 FFY of Grant Approval: 2012
--	---	---

Type of Grant
 Original Annual Statement Reserve for Disasters/Emergencies
 Performance and Evaluation Report for Period Ending: Revised Annual Statement (revision no:)
 Final Performance and Evaluation Report

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)				
20	Amount of Annual Grant:: (sum of lines 2 - 19)	1,172,930			
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security - Soft Costs				
24	Amount of line 20 Related to Security - Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures				
Signature of Executive Director		Date 1-25-2012		Signature of Public Housing Director	
				Date	

¹ To be completed for the Performance and Evaluation Report.
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.
⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part I: Summary
 PBA Name: Housing Authority of the County of Monterey
 Grant Type and Number: Capital Fund Program Grant No: CA39PO39501-11
 Replacement Housing Factor Grant No:
 Date of CFP:
 FY of Grant 2012
 FY of Grant Approval 2011

Line	Type of Grant <input checked="" type="checkbox"/> Original Annual Statement <input type="checkbox"/> Performance and Evaluation Report for Period Ending: Summary by Development Account	Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision as: <input type="checkbox"/> Final Performance and Evaluation Report	Total Estimated Cost		Total Actual Cost ¹	
			Original	Revised	Observed	Expended
1	Total non-CFP Funds					
2	1406 Operations (may not exceed 20% of line 21) ²					
3	1408 Management Improvements					
4	1410 Administration (may not exceed 10% of line 21)					
5	1411 Audit		90,592			
6	1415 Liquidated Damages					
7	1430 Fees and Costs					
8	1440 Site Acquisition					
9	1450 Site Improvement					
10	1460 Dwelling Structures					
11	1465 I Dwelling Equipment—Nonoccupiable		765,331			
12	1470 Non-dwelling Structures					
13	1475 Non-dwelling Equipment					
14	1485 Demolition		50,000			
15	1492 Moving to Work Demonstration					
16	1495 I Relocation Costs					
17	1499 Development Activities ⁴					

¹ To be completed for the Performance and Evaluation Report.
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³ PBA's with under 250 units in management may use 100% of CFP Grants for operations.
⁴ RHR funds shall be included here.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part I: Summary

PHA Name: Housing Authority of the County of Montevy	Grant Type and Number: Capital Fund Program Grant No: CA39PO35501-11 Replacement Housing Factor Grant No: Date of CFPP:	FFY of Grant: 2012 FFY of Grant Approval: 2011
---	--	---

Type of Grant: Original Annual Statement Reserve for Disasters/Emergencies
 Performance and Evaluation Report for Period Ending: Revised Annual Statement (revision no:)
 Summary by Development Account Final Performance and Evaluation Report

Line	Description	Original	Total Estimated Cost	Revised ¹	Obligated	Total Actual Cost ²	Expended
18a	1501 Collateralization or Debt Service paid by the PHA						
18a	9000 Collateralization or Debt Service paid Via System of Direct Payment						
19	1502 Contingency (may not exceed 8% of line 20)						
20	Amount of Annual Grant (sum of lines 2 - 19)						
21	Amount of line 20 Related to LBP Activities	905,923					
22	Amount of line 20 Related to Section 504 Activities						
23	Amount of line 20 Related to Security - Soft Costs						
24	Amount of line 20 Related to Security - Hard Costs						
25	Amount of line 20 Related to Energy Conservation Measures						
Signature of Executive Director		Date 7-14-11		Signature of Public Housing Director		Date	

¹ To be completed for the Performance and Evaluation Report.
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³ PHAs with under 250 units in management may use 100% of CFPP Grants for operations.
⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part II: Supporting Pages
 PHA Name: 2011 Housing Authority of the County of Monterey
 Grant Type and Number
 Capital Fund Program Grant No: CA39F055501-11
 CFPP (Yr/ No):
 Replacement Housing Factor Grant No:

Development Number Name/PEA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
CA033000105	Architectural Studies	1430						
	Site Improvements	1450						
	Dwelling Improvements	1460	10		400,000			
	Appliances	1465.2						
	Non-Dwelling Improvements	1470						
CA033000110	Architectural Studies	1430						
	Site Improvements	1450	8		320,000			
	Dwelling Improvements	1460						
	Appliances	1465.2						
	Non-Dwelling Improvements	1470						
	Architectural Studies	1430						
	Site Improvements	1450						
	Dwelling Improvements	1460						
	Appliances	1465.2						
	Non-Dwelling Improvements	1470						

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
² To be completed for the Performance and Evaluation Report.

Capital Fund Program—Five-Year Action Plan

ATTACHMENT 2

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
Expires 4/30/20011

Part I: Summary

PHA Name/Number Housing Authority of Monterey County CA033		Locality (City/County & State) Monterey County, CA			<input checked="" type="checkbox"/> Original 5-Year Plan <input type="checkbox"/> Revision No:	
A.	Development Number and Name	Work Statement for Year 1 FFY 2013 PHA FY 2012	Work Statement for Year 2 FFY 2014 PHA FY 2013	Work Statement for Year 3 FFY 2015 PHA FY 2014	Work Statement for Year 4 FFY 2016 PHA FY 2015	Work Statement for Year 5 FFY 2017 PHA FY 2016
B.	Physical Improvements Subtotal	726,000	726,000	798,600	878,460	1,030,726
C.	Management Improvements	258,045	258,045	283,849	312,234	361,863
D.	PHA-Wide Non-dwelling Structures and Equipment	177,156	177,156	194,872	214,359	235,795
E.	Administration	129,022	129,022	141,925	156,117	180,932
F.	Other					
G.	Operations					
H.	Demolition					
I.	Development					
J.	Capital Fund Financing -- Debt Service					
K.	Total CFP Funds					
L.	Total Non-CFP Funds					
M.	Grand Total		1,290,223	1,419,246	1,561,170	1,809,316

Capital Fund Program—Five-Year Action Plan

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
Expires 4/30/20011

Part I: Summary (Continuation)

PHA Name/Number County of Monterey CA033	Development Number and Name	Work Statement for Year 1 FFY 2013 PHA FY 2012	Locality (City/county & State) Monterey County, CA		<input checked="" type="checkbox"/> Original 5-Year Plan <input type="checkbox"/> Revision No:	
			Work Statement for Year 2 FFY 2014 PHA FY 2013	Work Statement for Year 3 FFY 2015 PHA FY 2014	Work Statement for Year 4 FFY 2016 PHA FY 2015	Work Statement for Year 5 FFY 2017 PHA FY 2016
	CA033000108 1415 Del Monte (45 units)	Annual Statement	726,000	692,120	527,076	
	CA033000120 1012 Sanborn (11 units)			106,480	351,384	193,261
	CA033000120 775 Elkington (6 units)					386,522
	CA033000120 780 Elkington (7 units)					450,943
	Physical Dwelling Improvements Subtotal		726,000	798,600	878,460	1,030,726
	Management Improvements		258,045	283,849	312,234	361,863
	HA-wide Non-dwelling Structures & Equipment Administration		177,156	194,872	214,359	235,795
			129,022	141,925	156,117	180,932
	Total		1,290,223	1,419,246	1,561,170	1,809,316

ATTACHMENT 3

PUBLIC HOUSING DEVELOPMENT AND REPLACEMENT ACTIVITIES

The Housing Authority has plans currently or in the future, of the development and/or replacement of public housing utilizing the demolition/disposition, disposition and/or conversion process in the forthcoming annual plan year (see Public Housing Development and Replacement Activities matrix below).

The Housing Authority also has plans currently or in the future, to engage in mixed-finance development and/or activities in the forthcoming annual plan year (see Public Housing Development and Replacement Activities matrix below).

Public Housing Development and Replacement Activities Matrix

Project #	Type of Housing Units	Type of Dwelling	# of Units	Address	Location	Mixed Financing Proposed?	Planned Action	Status of Planned Action
33-5	Senior	Garden Apts.	20	48 "C" Street	Gonzales, CA	Yes	Disposition and/or conversion	Submission of application planned for 1st qtr 2012
33-12	Senior	Garden Apts.	50	1083 Elm Avenue	Greenfield, CA	Yes	Disposition and/or conversion	Submission of application planned for 1st qtr 2012

Certification for a Drug-Free Workplace

U.S. Department of Housing and Urban Development

Applicant Name

Housing Authority of the County of Monterey

Program/Activity Receiving Federal Grant Funding

Public Housing Capital Fund Program

Acting on behalf of the above named Applicant as its Authorized Official, I make the following certifications and agreements to the Department of Housing and Urban Development (HUD) regarding the sites listed below:

I certify that the above named Applicant will or will continue to provide a drug-free workplace by:

a. Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the Applicant's workplace and specifying the actions that will be taken against employees for violation of such prohibition.

b. Establishing an on-going drug-free awareness program to inform employees ---

(1) The dangers of drug abuse in the workplace;

(2) The Applicant's policy of maintaining a drug-free workplace;

(3) Any available drug counseling, rehabilitation, and employee assistance programs; and

(4) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace.

c. Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph a.;

d. Notifying the employee in the statement required by paragraph a. that, as a condition of employment under the grant, the employee will ---

(1) Abide by the terms of the statement; and

(2) Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;

e. Notifying the agency in writing, within ten calendar days after receiving notice under subparagraph d.(2) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to every grant officer or other designee on whose grant activity the convicted employee was working, unless the Federal agency has designated a central point for the receipt of such notices. Notice shall include the identification number(s) of each affected grant;

f. Taking one of the following actions, within 30 calendar days of receiving notice under subparagraph d.(2), with respect to any employee who is so convicted ---

(1) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or

(2) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;

g. Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs a. thru f.

2. **Sites for Work Performance.** The Applicant shall list (on separate pages) the site(s) for the performance of work done in connection with the HUD funding of the program/activity shown above: Place of Performance shall include the street address, city, county, State, and zip code. Identify each sheet with the Applicant name and address and the program/activity receiving grant funding.)

CA033000108, 1415 Del Monte Avenue, Salinas, Monterey County, CA 93905

CA033000120, 1011 E. Laurel Drive, Salinas, Monterey County, CA 93905

Check here if there are workplaces on file that are not identified on the attached sheets.

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.

Warning: HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties.
(18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

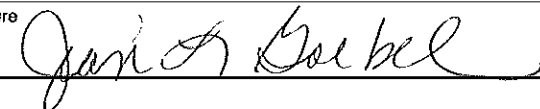
Name of Authorized Official

Jean L. Goebel

Title

Executive Director

Signature

X 

Date

January 25, 2012

Certification of Payments to Influence Federal Transactions

U.S. Department of Housing
and Urban Development
Office of Public and Indian Housing

Applicant Name

Housing Authority of the County of Monterey

Program/Activity Receiving Federal Grant Funding

Public Housing Capital Fund Program

The undersigned certifies, to the best of his or her knowledge and belief, that:

(1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.


(2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, Disclosure Form to Report Lobbying, in accordance with its instructions.

(3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by Section 1352, Title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.

Warning: HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties.
(18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

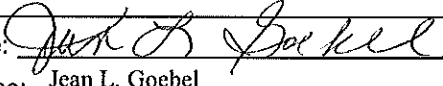
Name of Authorized Official Jean L. Goebel	Title Executive Director
Signature 	Date (mm/dd/yyyy) 01/25/2012

DISCLOSURE OF LOBBYING ACTIVITIES

Approved by OMB
0348-0046

Complete this form to disclose lobbying activities pursuant to 31 U.S.C. 1352

(See reverse for public burden disclosure.)

1. Type of Federal Action: <input type="checkbox"/> a. contract <input checked="" type="checkbox"/> b. grant c. cooperative agreement d. loan e. loan guarantee f. loan insurance	2. Status of Federal Action: <input checked="" type="checkbox"/> a. bid/offer/application b. initial award c. post-award	3. Report Type: <input type="checkbox"/> a. initial filing <input type="checkbox"/> b. material change For Material Change Only: year _____ quarter _____ date of last report _____
4. Name and Address of Reporting Entity: <input checked="" type="checkbox"/> Prime <input type="checkbox"/> Subawardee Tier _____, if known: Housing Authority of the County of Monterey 123 Rico Street Salinas, CA 93907 Congressional District, if known: 17th California		5. If Reporting Entity in No. 4 is a Subawardee, Enter Name and Address of Prime: Congressional District, if known:
6. Federal Department/Agency: Department of HUD, Public & Indian Housing	7. Federal Program Name/Description: Capital Fund Grant Program CFDA Number, if applicable: _____	
8. Federal Action Number, if known:	9. Award Amount, if known: \$	
10. a. Name and Address of Lobbying Registrant <i>(if individual, last name, first name, MI):</i>	b. Individuals Performing Services <i>(including address if different from No. 10a)</i> <i>(last name, first name, MI):</i>	
11. Information requested through this form is authorized by title 31 U.S.C. section 1352. This disclosure of lobbying activities is a material representation of fact upon which reliance was placed by the tier above when this transaction was made or entered into. This disclosure is required pursuant to 31 U.S.C. 1352. This information will be available for public inspection. Any person who fails to file the required disclosure shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.	Signature: <u></u> Print Name: <u>Jean L. Goebel</u> Title: <u>Executive Director</u> Telephone No.: <u>831-775-5000</u> Date: <u>1-25-2012</u>	
Federal Use Only:		Authorized for Local Reproduction Standard Form LLL (Rev. 7-97)